

RESPONSES FROM THE OFFICE OF STATE ETHICS

Headcount Questions:

1. What is your current headcount? **Funded 16- Actual 15= Open 1**
 - a. Total headcount: **16**
 - b. Of the total, how many are Funded headcount: **16**
 - c. Of the funded, how many Actual headcount: **15**
 - d. Open/vacancies headcount: **1**
 - i. Are these opens part-time or full-time resources? **Full Time**

2. Is there is change in headcount (either up or down) for this budget ask? **Yes, asking for one additional Staff Attorney 2, estimated salary of \$109,846.**
 - a. If there is a positive change in headcount, please explain what the positions are and what is driving the need for the positions
 - i. If these adds are legislatively driven, what piece of legislation is driving the increase? **This position will provide necessary support to help fulfill the obligation to enforce all of the requirements under the Code of Ethics for Lobbyists, Part II of Chapter 10 of the General Statutes. In addition, the position will support enforcement of confidential matters that are administered under General Statutes § 1-82, which covers the Code of Ethics for Public Officials, and General Statutes § 1-93, which pertains to the Lobbyist Code.**
 - ii. If they are not legislatively driven, please indicate which program is increasing if there is one **n/a**
 - b. If there is a reduction, please explain what is driving the reduction
 - i. Are the positions being transferred to another area? **n/a**

3. Does this budget ask include the open/vacant positions above? **Yes**
 - a. If yes, how are they budgeted into your plan? (Please explain for all opens – if you have ten opens then explain for all ten)
 - i. Are these full time or part positions? **Full Time**
 - ii. What is the anticipated start date of your vacancies? **May 2026**

*Please detail by number, for example: There are 10 open positions – 8 are full-time and 2 are part-time. We have built them into the budget as follows. 8 full-time positions are expected to be filled on 07/01 and 2 part-time positions are expected to be filled on 01/01

There is 1 full-time open position as of 1/22/26, built into the budget and expected to be filled by May 2026

4. How many opens/vacancies did you have at the prior year end on 06/30/2025? **None**
 - a. How many vacancies did you start the prior year with (07/01/2024)? **1**
 - b. How many people left throughout the year either via leaving, retiring, or transferring? **0**
 - c. How many new hires did you have in the same time period (07/01/24-06/30/25)? **1**

*For example-- all of these numbers should tie. Started 2023 with 20 vacancies, 2 left for retirement, hired 12. This should tie to your opens above – $20+2-12=10$

Started FY25 with 1 full time vacancy, hired 1, leaving 0 vacancy at the start of FY26

5. What is the average salary of your open positions?

1 open Associate Accounts Examiner position average salary \$103,228

Lapse Questions: *(please provide the numbers and not a link to the comptroller's report)

1. Were there any lapsing accounts on 06/30/2025? **Yes**
 - a. If yes, what were the accounts? **OSE has only one line item, that encompasses both Personal Services and Operating Expenses.**
 - b. If yes, what was the lapse balance? **PS \$5,268 and OE \$4,533**
 - c. If yes, what drove the lapse? **Negligible amount lapsed due to occasional estimated routine expense not utilized in last fiscal year. However, these are expected in the current fiscal year and going forward.**

2. Please provide the starting Personnel Services budget number and the ending Personnel Services number. Please do not include any dollars that may have been moved via the FAC process. Just total non adjusted budgeted PS line item and total ending PS line item.
- **Starting PS \$1,966,919 ending in \$1,961,651**

3. Where there any dollars for new programs/legislation that did not kick off? **No**
 - a. If so what were the programs/legislation? **n/a**
 - b. What prevented implementation of the program? **n/a**

4. If there is a lapsing balance, do you anticipate it carrying forward? **No**
 - a. If yes, how do you propose to use that lapse? **n/a**
 - b. Will it be for one-time expenses? **n/a**
 - i. If so, what are those one-time expenses? **n/a**
 - c. If ongoing expense is that expense built into this budget in FY 27? **n/a**

ARPA Questions:

1. Are there still ARPA funds included in this budget? **No**
 - a. If yes, when will the funding be fully utilized **n/a**

Audit Questions:

1. Have you reviewed your agencies latest audit finding? **N/A. Agency audit for FY 24/25 has just commenced. The review and recommendations for the prior audit have not changed since last implemented.**

2. Have you implemented the recommendations with no fiscal impact? **n/a**
 - a. If so, please provide explanation of what you have changed to meet audit expectations.

3. If your agency has a recommendation with a fiscal impact, do you know what that annualized impact is? **n/a**

General Questions:

1. Is there anything you would change about this budget? **No**
2. Is there anything you would add to this budget? **No**
3. Is there anything you would remove from this budget? **No**
4. Is there any legislation that was passed you feel you are not adequately prepared to implement?
No
 - a. If so, what would we need to change to make it implementable?